

LASER FINANCIAL GROUP

Proven Time-Tested Results with Integrity!

CODE OF ETHICS

*As an associate of Laser Financial Group LC, and to remain in good standing,
I shall strictly adhere to the following code of ethics:*

- Always place the best interest of clients above my own direct or indirect interests. I shall fulfill the needs of clients to the best of my ability.
- Hold in strictest confidence and consider as privileged, all business and personal information pertaining to clients.
- Make full and adequate disclosure of all facts necessary to enable clients to make informed decisions. I shall present accurately and honestly all facts essential to clients decision making. I shall not make misleading or incomplete statements or proposals to clients as an inducement to enter into a transaction.
- I shall advise clients to seek legal, tax, and any other advice from qualified advisors prior to making decisions.
- Maintain the highest standards of professional competence and give the best possible advice to clients by seeking to maintain and improve professional knowledge, skills, and competence. I shall perfect my skills and increase knowledge through continuing education.
- Determine that any replacement of a financial product is beneficial for the client.
- Abide by and conform to all provisions of the law and regulations in the jurisdictions in which I conduct business.
- Maintain personal conduct which will reflect favorably on Laser Financial Group, LC and the financial services profession as a whole.

Signature: _____

Name: _____

Date: _____

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